



SRA

SLAVERY & TRAFFICKING RISK TEMPLATE (STRT)

VERSION 3.2



WORKSHEET

HUMAN TRAFFICKING & SLAVERY RISK SCORING ALGORITHM: WORKSHEET

Use this worksheet to document your algorithm for generating a human trafficking and slavery risk score with the STRT. Assign points to questions in the STRT, as well as the different thresholds for each risk category. Reference the STRT Scoring Guide for context and extra information

STRT QUESTION	STRT QUESTION RESPONSE	SUPPORTING DOCUMENTATION?	POINTS	NOTES
1	Selection of one or more high-risk countries	-		
	Selection of one or more medium-risk countries (and no high-risk countries)	-		
	Blank	-		
	Selection of one or more low-risk countries (and no high or medium-risk countries)	-		
2	Yes	-		
	Blank	-		
	No	-		
3	Selection of one or more high-risk countries or jurisdictions where the organization produces the good	-		
	The good is not produced by the organization in any of the high-risk countries or jurisdictions listed (Not Applicable).	-		
	Selection of one or more high-risk countries or jurisdictions where the good is sourced by the organization or its suppliers.	-		
	The good is not sourced by the organization or its suppliers in any of the high-risk countries or jurisdictions listed (Not Applicable).	-		

STRT QUESTION	STRT QUESTION RESPONSE	SUPPORTING DOCUMENTATION?	POINTS	NOTES
4	Yes	-		
	No	-		
	Some	-		
	N/A – my organization does not source (directly or indirectly) this good	-		
5	1-100	-		
	101-1000	-		
	1001-2000	-		
	2,001-5,000	-		
	5,001-10,000	-		
	More than 10,000	-		
	Blank	-		
6	14 and younger	-		
	15 to 18	-		
	Older than 18	-		
7	Yes	-		
	No	-		
	Blank	-		
8	Yes	-		
	No, our recruiters do not hire subcontractors to recruit workers	-		
	We don't know if our recruiters hire subcontractors to recruit workers	-		
	N/A – We do not use recruiters	-		

STRT QUESTION	STRT QUESTION RESPONSE	SUPPORTING DOCUMENTATION?	POINTS	NOTES
9	Yes	-		
	Blank	-		
	No	-		
10	Yes	-		
	No	-		
	Unknown	-		
	N/A - We do not work with any suppliers	-		
11a	No	-		
	Blank	-		
	Yes	Yes		
11b	No	-		
	Blank	-		
	Yes	Yes		
11c	No	-		
	Blank	-		
	Yes	Yes		
11d	No	-		
	Blank	-		
	Yes	Yes		
11e	No	-		
	Blank	-		
	Yes	Yes		
	N/A - We do not use recruiters	-		
11f	No	-		
	Blank	-		
	Yes	Yes		

STRT QUESTION	STRT QUESTION RESPONSE	SUPPORTING DOCUMENTATION?	POINTS	NOTES
11g	No	-		
	Blank	-		
	Yes	Yes		
11h	No	-		
	Blank	-		
	Yes, broadly and/or when required by law or contract	Yes		
12a	No	-		
	Blank	-		
	Yes	Yes		
	N/A — We do not hire foreign or domestic migrant workers	-		
12b	No	-		
	Blank	-		
	Yes	Yes		
	N/A — We do not provide or arrange housing	-		
12c	No	-		
	Blank	-		
	Yes	Yes		
12d	No	-		
	Blank	-		
	Yes	Yes		
12e	No	-		
	Blank	-		
	Yes	Yes		

STRT QUESTION	STRT QUESTION RESPONSE	SUPPORTING DOCUMENTATION?	POINTS	NOTES
12f	No	-		
	Blank	-		
	Yes, and this applies to all workers whether required by law or by contract	Yes		
	Yes, but only when required by law or by contract	Yes		
12g	No	-		
	Blank	-		
	Yes	Yes		
13	No	-		
	Blank	-		
	Yes	Yes		
	N/A – We do not hire foreign or domestic migrant workers	-		
14	No	-		
	Blank	-		
	Yes, if question 13 is left blank	-		
	Yes, if question 13 is answered No	Yes		
	Yes, if question 13 is answered N/A – We do not hire foreign or domestic migrant workers	Yes		
	Yes, if Question 13 is answered Yes	Yes		
	N/A – We do not work with any suppliers	-		

STRT QUESTION	STRT QUESTION RESPONSE	SUPPORTING DOCUMENTATION?	POINTS	NOTES
15	No, if Question 14 is answered Yes	-		
	Blank, if Question 14 is answered Yes	-		
	Yes, if Question 14 is answered Yes	Yes		
	N/A – We do not work with any suppliers, if Question 14 is answered Yes	-		
16	No, if Question 14 and/or Question 15 is answered Yes	-		
	Blank, if Question 14 and/or Question 15 is answered Yes	-		
	Yes, if Question 14 and/or Question 15 is answered Yes	Yes		
	N/A – We do not use recruiters, if Question 14 and/or Question 15 is answered Yes	-		
17	No	-		
	Blank	-		
	Yes	Yes		
	N/A – We do not use recruiters	-		
18a	No	-		
	Blank	-		
	Yes	Yc		
18b	No	-		
	Blank	-		
	Yes	Yes		
	N/A – My organization does not source (directly or indirectly) this good	-		

STRT QUESTION	STRT QUESTION RESPONSE	SUPPORTING DOCUMENTATION?	POINTS	NOTES
18c	No	-		
	Blank	-		
	Yes	Yes		
	N/A – We do not use recruiters	-		
19	No	-		
	Yes	Yes		
	Blank	-		
20	No	-		
	Blank	-		
	Yes	Yes		
21	100%	-		
	Greater than 90%	-		
	Greater than 75%	-		
	Greater than 50%	-		
	50% or less	-		
	N/A – We do not work with any suppliers	-		
22	No	-		
	Blank	-		
	Yes	Yes		
23	No	-		
	Blank	-		
	Yes	Yes		
24	No	-		
	Blank	-		
	Yes	Yes		

STRT QUESTION	STRT QUESTION RESPONSE	SUPPORTING DOCUMENTATION?	POINTS	NOTES
25	No	-		
	Blank	-		
	Yes	Yes		
26	No	-		
	Blank	-		
	Yes	Yes		
27	No	-		
	Blank	-		
	Yes	-		
	N/A – We do not use recruiters	-		
28	No	-		
	Blank	-		
	Yes	Yes		
Cumulative score				

THRESHOLDS

	High-Risk (High Levels of Inherent Risk)	Indeterminate	Low-Risk (Low Levels of Inherent Risk)	Low-Risk (Adequate Risk Control)	Medium-Risk (Partial Risk Control)	High-Risk (Inadequate Risk Control)
Sample Approach	Questions 9 and/or 10 answered "Yes"	'Topics Scope' covers child labour	Cumulative score for Questions 1 to 10: Below 2.5 points	Cumulative score for Questions 11 to 28: 9 points or below	Cumulative score for Questions 1 to 28: 40 points or below (out of a possible 100 points)	Cumulative score for Questions 1 to 28: Greater than 40 points (out of a possible 100 points)



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