

# SLAVERY & TRAFFICKING RISK TEMPLATE (STRT)

VERSION 2.0



## HUMAN TRAFFICKING & SLAVERY RISK SCORING ALGORITHM: WORKSHEET

Use this worksheet to document your algorithm for generating a human trafficking and slavery risk score with the STRT. Assign points to questions in the STRT, as well as the different thresholds for each risk category. Reference the STRT Scoring Guide for context and extra information.

STRT QUESTION	STRT QUESTION RESPONSE	SUPPORTING DOCUMENTATION?	POINTS	NOTES
1	Selection of one or more high-risk countries	-		
	Selection of one or more medium-risk countries (and no high-risk countries)	-		
	Blank	-		
	Selection of one or more low-risk countries (and no high or medium-risk countries)	-		
2	Yes	-		
	Blank	-		
	No	-		
	N/A – We do not work with any suppliers	-		

STRT QUESTION	STRT QUESTION RESPONSE	SUPPORTING DOCUMENTATION?	POINTS	NOTES
3	Yes	-		
	Blank	-		
	No	-		
4a	Yes	-		
	Blank	-		
	No	-		
4b	Yes	-		
	Blank	-		
	No	-		
	Unknown	-		
	N/A – We do not work with any suppliers	-		
5	1-100	-		
	101-1,000	-		
	1,001-2,000	-		
	2,001-5,000	-		
	5,001-10,000	-		
	More than 10,000	-		
	Blank	-		

STRT QUESTION	STRT QUESTION RESPONSE	SUPPORTING DOCUMENTATION?	POINTS	NOTES
6	Yes	-		
	No	-		
	Blank	-		
7	Yes	-		
	No, our recruiters do not hire subcontractors to recruit workers	-		
	Blank	-		
	N/A – We do not use recruiters	-		
	We don't know if our recruiters hire subcontractors to recruit workers	-		
8	Yes	-		
	Blank	-		
	No	-		
9	Yes	-		
	Blank	-		
	No	-		
	Unknown	-		
	N/A – We do not work with any suppliers	-		
10a	No	-		
	Blank	-		
	Yes	Yes		

STRT QUESTION	STRT QUESTION RESPONSE	SUPPORTING DOCUMENTATION?	POINTS	NOTES
10b	No	-		
	Blank	-		
	Yes	Yes		
10c	No	-		
	Blank	-		
	Yes	Yes		
10d	No	-		
	Blank	-		
	Yes	Yes		
	N/A — We do not use recruiters	-		
10e	No	-		
	Blank	-		
	Yes	Yes		
10f	Yes, broadly and/or only when required by law or by contract	-		
	Blank	-		
	No	-		
11a	No	-		
	Blank	-		
	Yes	Yes		
	N/A — We do not hire foreign migrant workers	-		

STRT QUESTION	STRT QUESTION RESPONSE	SUPPORTING DOCUMENTATION?	POINTS	NOTES
11b	No	-		
	Blank	-		
	Yes	Yes		
	N/A – We do not provide or arrange housing	-		
11c	No	-		
	Blank	-		
	Yes	Yes		
11d	No	-		
	Blank	-		
	Yes	Yes		
11e	No	-		
	Blank	-		
	Yes, but only when required by law or by contract	Yes		
	Yes, and this applies to all employees, even when not required by law or by contract	Yes		
11f	No	-		
	Blank	-		
	Yes	Yes		

STRT QUESTION	STRT QUESTION RESPONSE	SUPPORTING DOCUMENTATION?	POINTS	NOTES
12	No	-		
	Blank	-		
	Yes	Yes		
	N/A – We do not hire foreign or domestic migrant workers	-		
13	No (or Blank) if question 9 is answered No	-		
	No (or Blank) if question 9 is answered Yes (or blank or Unknown)	-		
	Yes if question 12 is answered No (or blank or N/A) and question 9 is answered Yes (or blank or unknown)	-		
	Yes if question 12 is answered No (or blank or N/A) and question 9 is answered No	Yes		
	Yes if question 12 is answered Yes	-		
	N/A – We do not work with any suppliers	-		

STRT QUESTION	STRT QUESTION RESPONSE	SUPPORTING DOCUMENTATION?	POINTS	NOTES
14	No	-		
	Blank	-		
	Yes if Question 13 is answered No	-		
	Yes if Question 13 is answered Yes	Yes		
	Yes if Question 13 is left blank	-		
	"N/A – We do not work with any suppliers" if Question 13 is answered N/A	-		
	"N/A – We do not work with any suppliers" if Question 13 is answered Yes	-		
	"N/A – We do not work with any suppliers" if Question 13 is answered No	-		
	"N/A – We do not work with any suppliers" if Question 13 is blank	-		

STRT QUESTION	STRT QUESTION RESPONSE	SUPPORTING DOCUMENTATION?	POINTS	NOTES
15	No	-		
	Blank	-		
	Yes if Question 13 is answered No	-		
	Yes if Question 13 is answered Yes	Yes		
	Yes if Question 13 is left blank	-		
	"N/A – We do not work with any suppliers" if Question 13 is answered N/A	-		
	"N/A – We do not work with any suppliers" if Question 13 is answered Yes	-		
	"N/A – We do not work with any suppliers" if Question 13 is answered No	-		
	"N/A – We do not work with any suppliers" if Question 13 is blank	-		
16	No	-		
	Blank	-		
	Yes	Yes		
	N/A – We do not use recruiters	-		
17a	No	-		
	Blank	-		
	Yes	Yes		



STRT QUESTION	STRT QUESTION RESPONSE	SUPPORTING DOCUMENTATION?	POINTS	NOTES
17b	No	-		
	Blank	-		
	Yes	Yes		
17c	No	-		
	Blank	-		
	Yes	Yes		
	N/A – We do not work with any indirect suppliers	-		
17d	No	-		
	Blank	-		
	Yes	Yes		
	N/A – We do not use recruiters/Our recruiters do not use subcontractors	-		
18	No	-		
	Blank	-		
	Yes <u>if</u> all questions under Question 17 are answered No (some may be answered N/A)	-		
	Yes <u>if</u> one or more questions under Question 17 are answered Yes	Yes		
	Yes <u>if</u> all questions under Question 17 are left blank	-		

STRT QUESTION	STRT QUESTION RESPONSE	SUPPORTING DOCUMENTATION?	POINTS	NOTES
19	No	-		
	Yes	Yes		
	Blank	-		
20	No	-		
	Blank	-		
	Yes	Yes		
21	No	-		
	Blank	-		
	Yes	Yes		
22	No	-		
	Blank	-		
	Yes	Yes		
23	No	-		
	Blank	-		
	Yes	Yes		
	N/A – We do not use recruiters	-		
24	Yes	-		
	No	-		
	Blank	-		
<b>Cumulative score</b>			<b>____ Points</b>	

	THRESHOLDS			
Sample Approach A	Low-Risk (No Perceived Inherent Risk)	Low-Risk (Adequate Risk Control)	Medium-Risk	High-Risk
		<u>Cumulative score for Questions 1 to 9:</u> Below 2.5 points	<u>Cumulative score for Questions 9 to 24:</u> 9 points or below	<u>Cumulative score for Questions 1 to 24:</u> 40 points or below (out of a possible 100 points)

	THRESHOLDS			
Sample Approach B	Low-Risk (No Perceived Inherent Risk)	Low-Risk (Adequate Risk Mitigation)	Medium-Risk	High-Risk
		<u>Cumulative score for Questions 1 to 9:</u> Below 8 points	<u>Cumulative score for Questions 10 to 24:</u> Below 7 points	<u>Cumulative score for Questions 1 to 24:</u> 62 points or below (out of a possible 100 points)